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01/23/02  
JC511 U.S. PTO

Patent Application  
Docket No. 51261-00003

j1002 U.S. PTO  
10/056180  
01/23/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: William D. Lester, et al.

For: METHOD OF AND SYSTEM FOR FINANCIAL SERVICES FULFILLMENT

BOX PATENT APPLICATION  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL	
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Type or Print Name	<i>Carol A. Martin</i>
Signature	<i>Carol A. Martin</i>

Sir:

**PATENT APPLICATION TRANSMITTAL LETTER**

Transmitted herewith for filing, please find the following:

- ☒ Specification, claims and abstract of the above-referenced patent application (total of 15 pages)
- ☒ 2 sheets of drawings (\_\_\_ formal/ ☒ informal).
- \_\_\_ Combined Declaration and Power of Attorney (unsigned).
- \_\_\_ An Assignment of the invention to: \_
- ☒ Applicants claims small entity status under 37 CFR 1.9 and 1.27.
- ☒ Other (specify): Acknowledgment postcard .

10056180 "012302"

X This application claims the benefit of

copending provisional application Serial No. 60/263,938 filed on January 24, 2001 now pending.

In the event that a petition to extend time under 37 CFR 1.136 is necessary in the parent application to maintain copendency for this application, a petition for an extension of the necessary time to maintain copendency is hereby requested for the parent application and the Commissioner is hereby authorized to debit our Account Number 10-0447 for the necessary fees.

The filing fee has been calculated as shown below:

	NO. FILED	NO. EXTRA	RATE	FEE
<b>BASIC FEE</b>				<b>\$370</b>
<b>TOTAL CLAIMS</b>	<b>20 - 20</b>		<b>\$18</b>	<b>0</b>
<b>INDEPENDENT CLAIMS</b>	<b>3 - 3</b>		<b>\$84</b>	<b>0</b>
<b>MULTIPLE DEPENDENT CLAIMS PRESENTED</b>			<b>\$280</b>	
<b>TOTAL FEES:</b>				<b>\$370.00</b>
<b>Deduct One-Half for Small Entity Status</b>				
<b>Assignment Recordal Fee</b>			<b>\$40</b>	
<b>TOTAL AMOUNT DUE:</b>				<b>\$370.00</b>

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— Checks in the amount of \$\_\_\_\_\_ is attached. Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0447.

X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 10-0447.

X Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).

X Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

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